

The automorphism groups of domestic or tubular exceptional curves over the real numbers

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Let k be a field. We will consider tame hereditary and canonical k -algebras (in the sense of Ringel and Crawley-Boevey). The structure of the category of indecomposable modules over such an algebra Λ is well-known. In particular, it is known there exists a separating tubular family $\text{ind}_0 \Lambda$ which is indexed by some set \mathbb{X} . Our aim is to study the geometry of \mathbb{X} .

The easiest case is so called homogeneous case, when

$$\Lambda = \begin{pmatrix} G & 0 \\ M & F \end{pmatrix},$$

where F and G are skew fields, which are finite dimensional over k , and M is a tame F - G -bimodule with k acting centrally. In this case all tubes are homogeneous.

If k is algebraically closed then $M = k \oplus k$ and $\mathbb{X} = \mathbb{P}^1(k)$. In nonhomogeneous cases for k algebraically closed we obtain weighted projective lines introduced by Geigle and Lenzing.

For $k = \mathbb{R}$ the structure of \mathbb{X} as a topological space has been described by Dlab and Ringel. However, the geometry of \mathbb{X} in general is not understood. It has been implicitly described in terms of exceptional curves by Lenzing. We present here the explicit description for $k = \mathbb{R}$. This description will be done in terms of the automorphism group.

Let $\mathcal{H} = \text{coh } \mathbb{X}$ be the category of coherent sheaves over \mathbb{X} . It is a hereditary noetherian category with Serre duality. We define $\text{Aut } \mathcal{H}$ to be the class of all auto-equivalences $F : \mathcal{H} \rightarrow \mathcal{H}$ modulo isomorphism relation. We put $\text{Aut } \mathbb{X}$ to be the subgroup of $\text{Aut } \mathcal{H}$ consisting of all $F \in \text{Aut } \mathcal{H}$ which fix the structure sheaf $\mathcal{O}_{\mathbb{X}}$.

It is known that each exceptional curve \mathbb{Y} arise by “insertion of weights” from a homogeneous curve \mathbb{X} . We write $\mathbb{Y} = \mathbb{X} \left(\begin{smallmatrix} p_1 & \dots & p_t \\ x_1 & \dots & x_t \end{smallmatrix} \right)$, where $x_1, \dots, x_t \in \mathbb{X}$ are pairwise distinct and $p_1, \dots, p_t > 1$ are weights.

Lemma. *If \mathbb{X} and \mathbb{Y} are as above, then $\text{Aut } \mathbb{Y}$ is the subgroup of $\text{Aut } \mathbb{X}$ formed by the automorphisms which preserve the weights (i.e. $p(Fy) = p(y)$ for all $y \in Y$).*

Consider the homogeneous case $\Lambda = \begin{pmatrix} G & 0 \\ M & F \end{pmatrix}$. Assume that $\text{ind } \Lambda$ consists of the preprojective component, the family of homogeneous tubes $\text{ind}_0 \Lambda$ and the preinjective component. Then the category $\text{coh } \mathbb{X}$ consists of the transinjective component build up from vector bundles and the tubes consisting from objects of finite length. Let $0 \rightarrow L \rightarrow \bar{L} \rightarrow \tau^-L \rightarrow 0$ be the Auslander–Reiten sequence, where L is the structure sheaf of \mathbb{X} . Then \bar{L} is indecomposable. Moreover, $\text{Hom}(L, \bar{L}) = M$, $\text{End}(L) = G$ and $\text{End}(\bar{L}) = F$.

We define the group $\text{Aut } M = \text{Aut}_k({}_F M_G)$ to be the set of all triples $\varphi = (\varphi_F, \varphi_M, \varphi_G)$, where φ_F is a k -automorphism of F , φ_G is a k -automorphism of G and $\varphi_M : M \rightarrow M$ is a k -linear bijection such that $\varphi_M(fmg) = \varphi_F(f)\varphi_M(m)\varphi_G(g)$. Equivalently, we may define $\text{Aut}(M)$ as the the group of k -autoequivalences of the category $\{L, \bar{L}\}$.

A triple $\varphi = (\varphi_F, \varphi_M, \varphi_G) \in \text{Aut } M$ is called inner if there exists a unit f in F and an unit g in G such that $\varphi_F(x) = f^{-1}xf$, $\varphi_G(y) = g^{-1}yg$ and $\varphi_M(m) = f^{-1}mg$. We denote the group of inner automorphisms by $\text{Inn } M$. Each triple $(\varphi_F, \varphi_M, \varphi_G)$ induces the automorphism of the k -algebra Λ in a natural way. This automorphism is inner in the usual way if the triple is inner. As usual we put $\text{Out } M := \text{Aut } M / \text{Inn } M$.

Lemma. *Let \mathbb{X} be a homogeneous exceptional curve with underlying tame bimodule M . Then $\text{Aut } \mathbb{X}$ is isomorphic to $\text{Out } M$.*

Proof. Given an automorphism F of \mathbb{X} we have it is given by an equivalence $F : \mathcal{H} \rightarrow \mathcal{H}$ fixing L . Then \bar{L} is also fixed. Hence $F|_{\{L, \bar{L}\}}$ is an autoequivalence of $\{L, \bar{L}\}$, hence belongs to $\text{Aut } M$. Moreover, $F \simeq 1_{\mathcal{H}}$ if and only if its restriction is an inner automorphism.

Conversely, given an autoequivalence $F : \{L, \bar{L}\} \rightarrow \{L, \bar{L}\}$ we have an induced element $\tilde{F} \in \text{Aut}(\Lambda)$. Hence we get an equivalence $\tilde{F} : \text{mod } \Lambda \rightarrow \text{mod } \Lambda$ which extends to the derived category and by restriction we obtain a selfequivalence of $\text{coh } \mathbb{X}$. Moreover this equivalence fixes L and F is inner if and only if \tilde{F} is isomorphic to $1_{\text{mod } \Lambda}$. The above defined maps are mutually inverse. \square

From now we assume $k = \mathbb{R}$. Let \mathbb{X} be a homogeneous exceptional curve over \mathbb{R} . We have up to duality five cases.

	M	$\text{Out } M$	R
1	${}_{\mathbb{R}}\mathbb{H}_{\mathbb{H}}$	$\text{SO}_3(\mathbb{R})$	$\mathbb{R}[X, Y, Z]/(X^2 + Y^2 + Z^2)$
2	${}_{\mathbb{R}}(\mathbb{R} \oplus \mathbb{R})_{\mathbb{R}}$	$\text{PGL}_2(\mathbb{R})$	$\mathbb{R}[X, Y]$
3	${}_{\mathbb{C}}(\mathbb{C} \oplus \mathbb{C})_{\mathbb{C}}$	$\text{PGL}_2(\mathbb{C}) \rtimes \mathbb{Z}_2$	$\mathbb{C}[X, Y]$
4	${}_{\mathbb{H}}(\mathbb{H} \oplus \mathbb{H})_{\mathbb{H}}$	$\text{PGL}_2(\mathbb{R})$	$\mathbb{H}[X, Y]$, X, Y are central
5	${}_{\mathbb{C}}(\mathbb{C} \oplus \overline{\mathbb{C}})_{\mathbb{C}}$	$\mathbb{R}_+ \rtimes \mathbb{Z}_2 \rtimes \mathbb{Z}_2$	$\mathbb{C}[X, \bar{Y}]$

In each case $\text{coh } \mathbb{X} \simeq \text{mod}^{\mathbb{Z}}(R)/\text{mod}_0^{\mathbb{Z}}(R)$.

Let \mathbb{X} be the projective spectrum $\text{Proj}(R)$ of R . All homogeneous primes ideals of height 1 in R are of the form $R\pi = \pi R$ with π homogeneous. We list the possible forms of π in all cases.

1. We have $\pi = ax + by + cz$, where $(a, b, c) \neq (0, 0, 0)$. Hence \mathbb{X} can be identified with $S^2/\pm 1 \simeq \mathbb{P}^1(\mathbb{C})/\mathbb{Z}_2$, where $\mathbb{Z}_2 = \langle z \mapsto -1/\bar{z} \rangle$. Here, all points are complex, that is for each $x \in \mathbb{X}$ we have $\text{End}(S_x) = \mathbb{C}$, where S_x is the simple sheaf concentrated in x .
2. We have the following possible forms of π :
 - $X, Y + \alpha X$, $\alpha \in \mathbb{R}$, real points;
 - $(Y + zX)(Y + \bar{z}X)$, $z \in \mathbb{C} \setminus \mathbb{R}$, complex points.

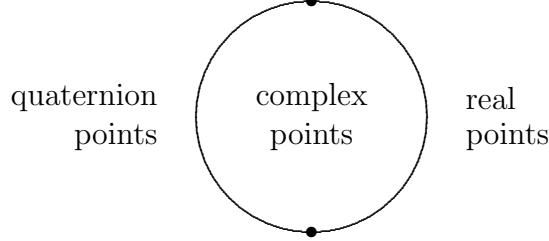
Hence $\mathbb{X} \simeq \mathbb{P}^1(\mathbb{C})/\langle \bar{\cdot} \rangle$.

3. We have $\pi = X$ or $\pi = Y + zX$, $z \in \mathbb{C}$, and $\mathbb{X} = \mathbb{P}^1(\mathbb{C})$ is the Riemann sphere with complex points.
4. We have the following possible forms of π :
 - $X, Y + \alpha\mathbb{R}$, $\alpha \in \mathbb{R}$, quaternion points;
 - $(Y + zX)(Y + \bar{z}X)$, $z \in \mathbb{C} \setminus \mathbb{R}$, complex points.

Hence $\mathbb{X} \simeq \mathbb{P}^1(\mathbb{C})/\langle \bar{\cdot} \rangle$.

5. We have the following possible forms of π :
 - X, Y , complex points.
 - $Y^2 - \alpha X^2 = (Y - \sqrt{\alpha}X)(Y + \sqrt{\alpha}X)$, $\alpha > 0$, real points;
 - $Y^2 - \alpha X^2$, $\alpha < 0$, quaternion points;
 - $(Y^2 - zX^2)(Y^2 - \bar{z}X^2)$, $z \in \mathbb{C} \setminus \mathbb{R}$, complex points.

Hence \mathbb{X} is a disk with the following distribution of points



Let Σ be the Riemann sphere. Then $\mathbb{X} = \Sigma$ or $\mathbb{X} = \Sigma/\mathbb{Z}_2$, where \mathbb{Z}_2 is generated by an antiholomorphic involution having fixed points of different type (in cases 2, 4, 5), or no fixed points (case 1).

Let $\text{Aut}' \mathbb{X}$ be the group of conformal maps of Σ , which in cases different from 3 commute with the involution and preserve type of points. Recall that all conformal maps on Σ are given by Möbius transformations

$$z \mapsto \frac{az + b}{cz + d} \text{ or } z \mapsto \frac{a\bar{z} + b}{c\bar{z} + d},$$

where $ab - bc \neq 0$. Hence the group of conformal maps is $\text{PGL}_2(\mathbb{C}) \rtimes \mathbb{Z}_2$. Thus $\text{Aut}' \mathbb{X}$ is:

1. $\text{SO}_3(\mathbb{R})$.
2. $\text{PGL}_2(\mathbb{R})$.
3. $\text{PGL}_2(\mathbb{C}) \rtimes \mathbb{Z}_2$.
4. $\text{PGL}_2(\mathbb{R})$.
5. $\mathbb{R}_+ \rtimes \mathbb{Z}_2$, where $\mathbb{R}_+ = \{z \mapsto \alpha z \mid \alpha > 0\}$.

Note that each $\varphi \in \text{Aut} \mathbb{X}$ “permutes” points of \mathbb{X} .

Theorem. *By “restriction to points” we get the homomorphism of groups*

$$\Phi : \text{Aut} \mathbb{X} \rightarrow \text{Aut}' \mathbb{X},$$

which in cases 1–4 is an isomorphism, and in case 5 is a split epimorphism with kernel generated by γ .

In case 1 $\text{Aut} \mathbb{X} = \text{SO}_3(\mathbb{R})$. Mean geometry of \mathbb{X} is equipped with additional metric structure (angles). As topological space \mathbb{X} is just $\mathbb{P}^2(\mathbb{R})$, but its automorphism group is $\text{PGL}_3(\mathbb{R})$.

Theorem. *If \mathbb{X} is a tubular exceptional curve then there is an exact sequence of groups*

$$1 \rightarrow \text{Pic}_0 \mathbb{X} \rtimes \text{Aut } \mathbb{X} \longrightarrow \text{Aut } D^b \mathbb{X} \rightarrow V \longrightarrow 1,$$

where V is either the braid group of B_3 , or it is a subgroup of B_3 of index 3. If $B_3 = \langle s, l \mid sls = lsl \rangle$, then $V = \langle l^n, s \rangle$, where $n = 1$ or $n = 2$. If $n = 2$ then $\langle l^2, s \rangle = \langle l^2, s \mid (l^2s)^2 = (sl^2)^2 \rangle$.

We obtain the \mathbb{X} domestic means no parameter and if \mathbb{X} is tubular then $\text{Aut } \mathbb{X}$ is finite.